

**10/554008**  
60,469-238, OT-5020A

**JC20 Rec'd PCT/PTO 19 OCT 2005**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Siewert, et al.  
Serial No. Herewith  
Filed: Herewith  
Group Art Unit: Unknown  
Examiner: Unknown  
Title: ELEVATOR SYSTEM WITHOUT A MOVING  
COUNTERWEIGHT

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PCT  
Commissioner For Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Complying with Applicant's duty of disclosure, Applicant encloses a copy of a search report from the corresponding PCT application. The enclosed Form PTO 1449 lists the references cited in the search report. Copies of foreign patent documents and non patent literature documents are enclosed.

Applicant respectfully requests that the Examiner consider the enclosed and make the cited references of record in this application.

Respectfully submitted,

**CARLSON, GASKEY & OLDS**



\_\_\_\_\_  
David J. Gaskey  
Reg. No. 37,139  
400 W. Maple Rd., Ste. 350  
Birmingham, MI 48009  
(248) 988-8360

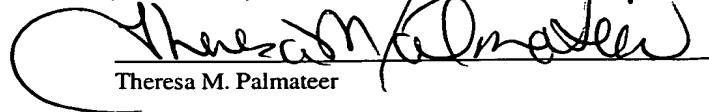
Dated: October 19, 2005

**10/554008**

60,469-238; OT-5020A

**IC20 Rec'd PCT/PTO 19 OCT 2005**

I hereby certify that the enclosed **Information Disclosure Statement** is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee," postage prepaid, in an envelope addressed to Mail Stop PCT, Commissioner For Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on October 19, 2005.

  
Theresa M. Palmateer

N:\Clients\OTIS ELEVATOR\PATENTNIDS - Search Report.doc

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1

of 1

**Complete if Known**

Application Number	Herewith <b>10/554008</b>
Filing Date	Herewith
First Named Inventor	Siewert, et al.
Art Unit	
Examiner Name	

Attorney Docket Number 60469-238; OT-5020A

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,471,012 B2	10-29-2002	Faletto	
		US- 6,247,557 B1	06-19-2001	Kobayashi, et al.	
		US- 5,906,251	05-25-1999	Aulanko,et al.	
		US- 5,878,847	03-09-1999	Mustalahti,et al.	
		US- 5,788,018	08-04-1998	Mendelsohn, et al.	
		US- 5,526,901	06-18-1996	Salmon	
		US- 5,509,503	04-23-1996	Salmon	
		US- 4,664,229	05-12-1987	Obst	
		US- 3,101,130	08-20-1963	Bianca	
		US- 1,625,084	04-19-1927	Hymans	
		US- 538,677	05-07-1895	Hill	
		US- 12,640	04-03-1855	Murtaugh	
US-					

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		WO 2004/094289 A1	11-04-2004	Otis Elevator Co.		
		WO 01/64572 A1	09-07-2001	Kone Corporation		
		WO 00/50328 A3	08-31-2000	Kone Corporation		

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Please type a plus sign (+) inside this box →

PTO/SB/08B (08-00)

**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**

Substitute for form 1449B/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	Herewith
		Filing Date	Herewith
		First Named Inventor	Siewert, eta l.
		Group Art Unit	
		Examiner Name	
(use as many sheets as necessary)		Attorney Docket Number	
Sheet	2	of	2
		60469-238; OT-5020A	

#### **OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.